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Attorney Docket No. 108298547US Disclosure No. 00-0132

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PTO/SB/21 (05-03)

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DE TOANCASTTAL	Application Number	09/945,316	
TRANSMITTAL	Filing Date	August 30, 2001	
FORM	First Named Inventor	Ulrich C. Boettiger	
(to be used for all correspondence after initial filin	a) Art Unit	1756	
	Examiner Name	Christopher G. Young	
Total Number of Pages in This Submission 4	Attorney Docket Number	108298547US	

ENCLOSURES (Check all that apply)				
Fee Transmit	tal Form	Drawing(s)	After Allowance communication to Group	
☐ Fee Atta	ached	Licensing-related Papers	Appeal Communication to Board	
Amendment/	Reply	Petition	of Appeals and Interferences	
After Fi	nal ts/declaration(s)	Petition to Convert to a Provisional Application	Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)	
I	Time Request	Power of Attorney, Revocation Change of Correspondence Address	Proprietary Information	
Express Aba	ndonment Request	Terminal Disclaimer	Status Letter  Other Enclosures:	
☐ Information □	isclosure Statemen	t Request for Refund	Cited References (3)	
Certified Cop Document(s)		CD, Number of CD(s)	Return Receipt Postcard	
Response to Incomplete A	Missing Parts/ pplication	Remarks		
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SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT				
Firm or	John M. Wehckin			
Individual name Registration No. 42,216				
Signature				
Date November 5, 2003				
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	as first class mail in	ce is being facsimile transmitted to the USPTO or depo an envelope addressed to: Commissioner for Patents,		
Typed or printed n	ame			
Signature			Date	

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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\* - Total Number of Pages does not include Cited References

CHRISTOPHER G.



**PATENT** 

# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF:

ULRICH C. BOETTIGER AND

SCOTT L. LIGHT

APPLICATION No.:

09/945,316

FILED:

AUGUST 30, 2001

FOR: METHOD AND APPARATUS FOR CONTROLLING RADIATION BEAM INTENSITY DIRECTED TO

MICROLITHOGRAPHIC SUBSTRATES

ART UNIT: CONF. NO:

**EXAMINER:** 

1756 1351

Young

**Supplemental Information Disclosure Statement** Before First Office Action after the Filing of a Request for Continued Examination Under 37 C.F.R. § 1.114 - 37 C.F.R. § 1.97(b)(4)

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

## 1. **Timing of Submission**

This information disclosure is being filed before the mailing date of a first Office action after the filing of a Request for Continued Examination under 37 C.F.R. § 1.114 [37 C.F.R. § 1.97(b)(4)]. The references listed on the enclosed Form PTO-1449 (modified) may be material to the examination of this application; the Examiner is requested to make them of record in the application.

#### 2. Cited Information

$\boxtimes$	Copies of the following references are enclose			
	$\boxtimes$	All cited references		
		References marked by asterisks The following:		

## 3. Effect of Information Disclosure Statement (37 C.F.R. § 1.97(h))

This Information Disclosure Statement is not to be construed as a representation that: (i) a search has been made; (ii) additional information material to the examination of this application does not exist; (iii) the information, protocols, results and the like reported by third parties are accurate or enabling; or (iv) the cited information is, or is considered to be, material to patentability. In addition, applicant does not admit that any enclosed item of information constitutes prior art to the subject invention and specifically reserves the right to demonstrate that any such reference is not prior art.

## 4. Fee Payment

No fees are believed due because this Information Disclosure Statement is being filed before the mailing date of the first Office Action. Applicant further submits that no fee is due in light of the following certification under 37 C.F.R. § 1.97(e) (check only one): In accordance with 37 C.F.R. § 1.97(e)(1), the undersigned hereby states that each item of information submitted herewith was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement; or In accordance with 37 C.F.R. § 1.97(e)(2), the undersigned hereby states that no item of information submitted herewith was cited in a communication from a foreign patent office in a counterpart foreign application, or, to the knowledge of the person signing the certification after making reasonable inquiry, was known to any individual designated in 37 C.F.R. § 1.56(c), more than three months prior to the filing of this statement. However, should the Commissioner determine that fees are due in order for this Information Disclosure Statement to be considered, the Commissioner is hereby authorized to charge such fees to Deposit Account No. 50-0665. Patent Term Adjustment (37 C.F.R. § 1.704(d)) The undersigned states that each item of information submitted herewith was cited in a communication from a foreign patent office in a counterpart application and that this communication was not received by any individual designated in 37 C.F.R. § 1.56(c) more than thirty days prior to the filing of this statement. 37 C.F.R. § 1.704(d). Respectfully submitted. Perkins Coie LLP Date: November 5, 2003 Registration No. 42,216

**Correspondence Address:** 

5.

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Express Mail No. EV335519465US

Attorney Docket No. 108298547US Disclosure No. 00-0132

A . N . S . L					Disclosure No. 00-0132	
				COMPLETE IF KNOWN		
				Application Number	09/945,316	
IN 0 5 2003 SINFORMATION DISCLOSURE				Confirmation Number	1351	
STATEMENT BY APPLICANT Form PTO-1449 (Modified) (Use several sheets if necessary)				Filing Date	August 30, 2001	
				First Named Inventor	Ulrich C. Boettiger	
			)	Group Art Unit	1756	
				Examiner Name	Christopher G. Young	
Sheet	1	of	1	Attorney Docket No.	108298547US	
				7 Auditicy Docket 140.	1 1002000 11 00	

U.S. PATENT DOCUMENTS					
Examiner	Cite No.	U.S. Patent or Application  Kind Code  NUMBER (if known)		Date of Publication or Filing Date of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		5,661,546	Taniguchi	08/26/1997	
		5,796,467	Suzuki	08/18/1998	
		5,721,608	Taniguchi	02/24/1998	

EXAMINER		DATE CONSIDERED	
*EXAMINER:	Initial if reference considered, whether or not criteria is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to application(s).		